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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DA  
A  
B

In re Application of: Dongwen Wang and Edward H. Shortliffe  
Serial No.: 10/600,923  
Filed: June 20, 2003  
For: A FLEXIBLE MODEL FOR EXECUTION OF CLINICAL PRACTICE GUIDELINES ENCODED IN THE GLIF3 FORMAT

Group Art Unit: To be assigned  
Examiner: To be assigned  
Docket No. 5199-101

April 26, 2004

Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO REVIVE UNINTENTIONALLY ABANDONED  
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.137(b)**

Dear Sir/Madam:

Applicants hereby petition the Commissioner to revive the above-identified non-provisional application under 37 C.F.R. § 1.137(b). The Commissioner is hereby authorized to charge Deposit Account No. 02-4270 for the Petition Fee of \$665.00, as set forth in 37 C.F.R. § 1.17(m).

Since this utility application was filed after June 8, 1995, no terminal disclaimer is required.

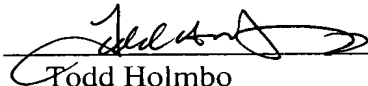
The above application has gone abandoned due to the Applicants' unintentional failure to file a Response to Notice to File Missing Parts of Provisional Application mailed August 26, 2003. Enclosed herewith is a copy of the Response to Notice to File Missing Parts and Request for Five (5) Month Extension of Time.

04/29/2004 SDIRETAE 00000002 024270 10600923  
01 FC:2453 665.00 DA

The entire delay in filing the required reply before the due date for the reply until the filing of this petition was unintentional.

The Commissioner is hereby authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 02-4270.

Respectfully submitted,

By:  \_\_\_\_\_

Todd Holmbo

Reg. No. 42,665

Attorney for Applicants

BROWN RAYSMAN MILLSTEIN

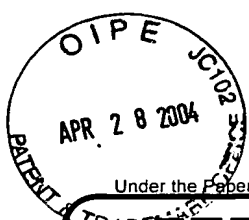
FELDER & STEINER LLP

163 Madison Avenue

P.O. Box 1989

Morristown, New Jersey 07962-1989

973.775.8919



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PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 665.00

## Complete if Known

Application Number	10/600,923
Filing Date	June 20, 2003
First Named Inventor	Dongwen Wang
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	5199-101

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 02-4270

Deposit Account Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	\$665.00
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 665.00

## SUBMITTED BY

Name (Print/Type) Todd A. Holmbo

Registration No. 42,665  
(Attorney/Agent)

(Complete if applicable)

Telephone 973.775.8919

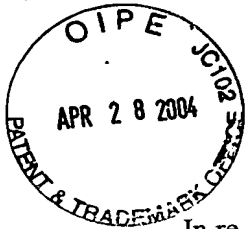
Signature

Date April 26, 2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	Dongwen Wang and Edward H. Shortliffe	Group Art Unit:	To be assigned
Serial No.:	10/600,923	Examiner:	To be assigned
Filed:	June 20, 2003	Docket No.	5199-101
For:	A FLEXIBLE MODEL FOR EXECUTION OF CLINICAL PRACTICE GUIDELINES ENCODED IN THE GLIF3 FORMAT		

April 26, 2004

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
AND REQUEST FOR FIVE (5) MONTH EXTENSION OF TIME**

Applicants are in receipt of the Notice to File Missing Parts of Non-Provisional Application filed under 37 C.F.R. § 1.53(b) (the "Notice"), mailed August 28, 2003, and hereby request a five (5) month extension of time for response. A copy of the Notice is enclosed. A petition for revival of the application under 37 C.F.R. § 1.137(b) is also being filed concurrently at the following address: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. A copy of the Petition for Revival is enclosed herewith.

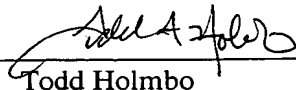
In response to the notification that the oath or declaration is unsigned, applicants enclose declaration and power of attorney forms, which have been executed by inventors Dongwen Wang and Edward H. Shortliffe.

Authorization is hereby provided to charge \$1,070.00 (the \$65.00 late declaration surcharge and the \$1,005.00 extension fee) to applicants' Deposit Account No. 02-4270. Authorization is hereby given to charge any additional fees that may be required to Deposit Account 02-4270.

In view of the foregoing, it is submitted that the application is complete.

Respectfully submitted,

By:



Todd Holmbo

Reg. No. 42,665

Attorney for Applicants

BROWN RAYSMAN MILLSTEIN

FELDER & STEINER LLP

163 Madison Avenue

P.O. Box 1989

Morristown, New Jersey 07962-1989

973.775.8919



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/600,923	06/20/2003	Dongwen Wang	5199-101

Leslie Gladstone Restaino  
 163 Madison Avenue  
 P.O. Box 1989  
 Morristown, NJ 07962-1989



CONFIRMATION NO. 6203

## FORMALITIES LETTER



\*OC000000010783842\*

Date Mailed: 08/28/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

APR 28 2004

Attorney's Docket No.: 5199-101

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION SOLE OR JOINT

We, Dongwen Wang and Edward H. Shortliffe hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention titled:

## GUIDELINE EXECUTION ENGINE (GLEE)

the specification of which was filed on June 20, 2003, as United States Application Number 10/600,923.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS.

I ACKNOWLEDGE THE DUTY TO DISCLOSE INFORMATION WHICH IS MATERIAL TO THE EXAMINATION OF THIS APPLICATION IN ACCORDANCE WITH TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### Prior Foreign Application(s)

(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, INsofar as the SUBJECT MATTER OF EACH OF THE CLAIMS OF THIS APPLICATION IS NOT DISCLOSED IN THE PRIOR UNITED STATES APPLICATION IN THE MANNER PROVIDED BY THE FIRST PARAGRAPH OF TITLE 35, UNITED STATES CODE, §112, I ACKNOWLEDGE THE DUTY TO DISCLOSE MATERIAL INFORMATION AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a) WHICH OCCURRED BETWEEN THE FILING DATE OF THE PRIOR APPLICATION AND THE NATIONAL OR PCT INTERNATIONAL FILING DATE OF THIS APPLICATION:

(Application Serial Number)	(Filing Date)	(STATUS: Patented, Pending, Abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith (List name and registration number).

### (LIST SENIOR PATENT COUNSEL AND ATTORNEY HANDLING CASE WITH PATENT OFFICE REGISTRATION NUMBERS.)

Leslie Gladstone Restaino, Reg. No. 38,893  
Seth H. Ostrow, Reg. No. 37,410  
Matthew J. Marquardt, Reg. No. 40,997  
Frederick Yu, Reg. No. 45,251  
James W. Woods, Reg. No. 47,184  
Robert L. Kovelman, Reg. No. 51,897

Frank J. DeRosa, Reg. No. 26,543  
Pamela G. Maher, Reg. No. 40,712  
Ivan Posey, Reg. No. 43,865  
Brooke W. Quist, Reg. No. 45,030  
Monica Winghart, Reg. No. 46,790  
Todd Holmbo, Reg. No. 42,665

### SEND CORRESPONDENCE TO:

Brown Raysman Millstein Felder & Steiner LLP  
163 Madison Avenue, P.O. Box 1989  
Morristown, New Jersey 07962-1989

### DIRECT TELEPHONE CALLS TO:

Leslie Gladstone Restaino, Esq.  
(973) 775-8930



Attorney Docket No. 5199-101

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION  
SOLE OR JOINT**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**NAME OF SOLE OR FIRST INVENTOR:**

WANG, Dongwen

**INVENTOR'S SIGNATURE****RESIDENCE**

New York, New York, United States of America (County of New York)

**CITIZENSHIP**

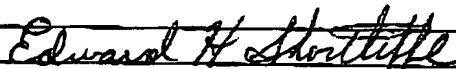
People's Republic of China

**POST OFFICE ADDRESS**610 West 110<sup>th</sup> Street, #11B

New York, New York 10025, United States of America

**NAME OF ADDITIONAL JOINT  
INVENTOR, IF ANY:**

SHORTLIFFE, Edward H.

**INVENTOR'S SIGNATURE****RESIDENCE**

New York, New York, United States of America (County of New York)

**CITIZENSHIP**

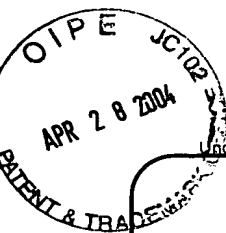
United States of America

**POST OFFICE ADDRESS**

15 Claremont Avenue, #91

New York, New York 10025, United States of America

**NAME OF ADDITIONAL JOINT  
INVENTOR, IF ANY:****INVENTOR'S SIGNATURE****RESIDENCE****CITIZENSHIP****POST OFFICE ADDRESS****NAME OF ADDITIONAL JOINT  
INVENTOR, IF ANY:****INVENTOR'S SIGNATURE****RESIDENCE****CITIZENSHIP****POST OFFICE ADDRESS****NAME OF ADDITIONAL JOINT  
INVENTOR, IF ANY:****INVENTOR'S SIGNATURE****RESIDENCE****CITIZENSHIP****POST OFFICE ADDRESS**



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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/600,923
	Filing Date	June 20, 2003
	First Named Inventor	Dongwen Wang
	Art Unit	To be assigned
	Examiner Name	To be assigned
Total Number of Pages in This Submission	Attorney Docket Number	5199-101

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Response to Notice to File Missing Parts and Request for Five (5) Month Extension of Time; Return Receipt Postcard
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Todd A. Holmbo, Reg. No. 42,665	
Signature		
Date	April 26, 2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Maureen Elise Kelly	
Signature		Date April 26, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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